

List of Publications and Presentations

	Title
1.	<i>"Agency Costs, Liquidity, and the Limited Liability Company as an Alternative to the Close Corporation"</i> , 21 Stetson L. Rev. 377 (1992) (reprinted in 34 Corp. Practice Commentator 531 (Clark Boardman Callaghan) (1993)).
2.	<i>"Negotiation of Over-the-Counter Derivatives: What are the "In-Stone" Provisions & What is Negotiable?"</i> , sponsored by the Financial Advisory Council, New York, New York (May 2-4, 1994).
3.	<i>"Legal and Regulatory Issues Concerning Over-the-Counter Derivatives"</i> , sponsored by the Brazilian Comissao de Valores Mobiliarios and the Sao Paulo and Rio de Janeiro stock exchanges, Sao Paulo and Rio de Janeiro, Brazil (September 28-29, 1994).
4.	<i>"Soft Dollar Brokerage Arrangements Involving Commodity Futures Transactions"</i> , 16 Futures & Derivatives L. Rep. 9 (Jan. 1997) (co-authored with H. West).
5.	<i>"Over-the-Counter Derivatives: A Framework for Oversight"</i> , sponsored by the Institute of Internal Auditors, Charlotte Area Chapter, Charlotte, North Carolina (April 14, 1997).
6.	<i>"Credit Derivatives for Effective Credit Portfolio Management"</i> , sponsored by ICM Conferences, New York, New York (September 10-11, 1997).
7.	<i>"Revised Article 8 of the NYUCC"</i> , Cadwalader, Wickersham & Taft - Client & Friends Memorandum (October 1997) (co-authored with S. Cohen and L. Kalemka).
8.	<i>"Broker-Dealer Lite: SEC Regulations for OTC Derivatives Dealers"</i> , 17 Futures & Derivatives L. Rep. 9 (May 1998) (co-authored with M. Bartlett and R. Shirazi).
9.	<i>"Perry Global Funding Limited: Issuer Presentation and ABCP Industry Developments"</i> , sponsored by Standard & Poor's, Asset-Backed Securitization Conference, Orlando, Florida (May 5-8, 2003).
10.	<i>"Derivatives for Governmental Users: Basics, Uses and Risks"</i> , Holland & Knight LLP – Public Finance, Vol. 4, Issue 1 (May 2004) (co-authored with R. Stephens).
11.	<i>"Municipal Derivatives: Basics, Uses and Risks"</i> , Presentation to SunCoast League of Cities, Howey-in-the-Hills, Florida (June 12, 2004) (co-authored with R. Stephens).
12.	<i>"Municipal Price Risk Management: Basics, Uses and Risks"</i> , Presentation to Florida Gas Utility Annual Meeting, Clearwater, Florida (July 16, 2004) (co-authored with R. Stephens).
13.	<i>"Fixed Spread Basis Swap: Trade Summary"</i> , Holland & Knight LLP – Public Finance, Vol. 5, Issue 1 (January 2005) (co-authored with R. Stephens).
14.	<i>"Floating Rates and Basis Swaps"</i> , Presentation Panelist at Information Management

	Network's Seminar on Florida Municipal Financial Risk Management Tools, Hollywood, Florida (April 12, 2005).
15.	" <i>Municipal Derivatives: Special Considerations</i> ", Presentation for Frost Bank, San Antonio, Texas (May 10, 2005).
16.	" <i>Interest Rate Derivatives: An Overview</i> ", Presentation to the Florida Association of County Attorneys, Tampa, Florida (June 23, 2005) (co-authored with R. Stephens).
17.	" <i>Changes in Federal Insolvency Laws Benefit the Municipal Financial Market</i> ", Holland & Knight LLP – Public Finance, Vol. 5, Issue 2 (August 2005).
18.	" <i>Municipal Derivatives</i> ", Presentation for Frost Bank, Grapevine, Texas (October 25, 2005).
19.	" <i>Municipal Derivatives and Taxation – Current Topics</i> ", Holland & Knight LLP – Public Finance, Vol. 6, Issue 1 (March 2006) (co-authored with J. Theberge).
20.	" <i>Interest Rate Derivatives: An Overview</i> ", Presentation to Central Florida – Government Finance Officers Association (April 13, 2006) (co-authored with R. Stephens).
21.	" <i>Collateral and Margin for Swaps After the Dodd Frank Act</i> ", Presentation for the Sierentz Fund, L.P., Wilton, Connecticut (September 17, 2012).
22.	" <i>Derivative Trading Considerations</i> ", ACA Compliance Group – Fall 2012 Compliance Conference (October 18, 2012) (co-authored with M. Holland West).
23.	" <i>Collateral and Margin for "Upstream" Swaps After the Dodd Frank Act</i> ", Presentation for Frost Bank, San Antonio, Texas (February 11, 2013).
24.	Comment Letter to CFTC, dated December 20, 2013, related to Membership in a Registered Futures Association and Proposed CFTC Rule §170.17 set forth in 78 Fed. Reg. 67078 (November 8, 2013), available at http://1.usa.gov/1PwdYW6 , and discussed in connection with adoption of CFTC's Final Rule §170.17 at 80 Fed. Reg. 55022 (September 14, 2015).
25.	" <i>Swap Counterparty Default and Recent Industry Developments</i> ", Presentation for Frost Bank, San Antonio, Texas (July 22, 2016).
26.	" <i>ISDA Documentation & Syndicated Energy Credits</i> ", Presentation for Frost Bank, San Antonio, Texas (April 4, 2017).